

# Coordinating SON Instances: Reinforcement Learning with Distributed Value Function

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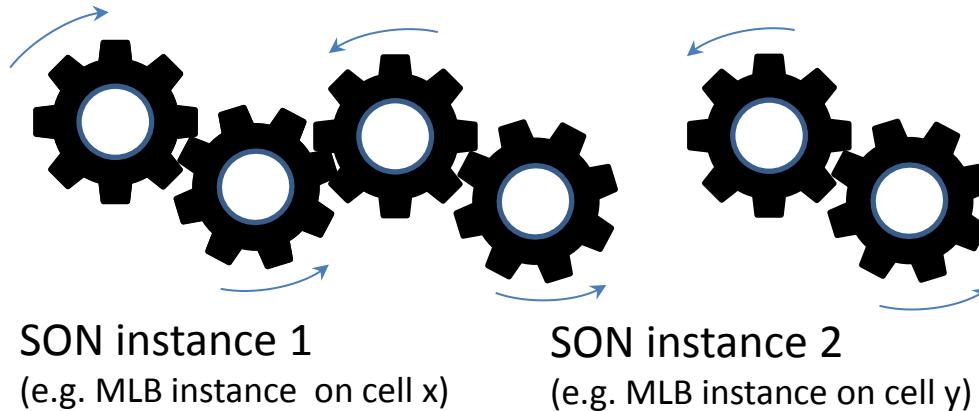


# Presentation agenda:

- Introduction
- System Description: SONCO, network stability
- Reinforcement Learning
- State Aggregation
- Simulation Results
- Conclusions and Future Work

# Introduction to SON & SON Coordination

- SON functions are meant to automate network tuning (e.g. Mobility Load Balancing, (MLB),etc.) in order to reduce CAPEX and OPEX.
- A SON instance is a realization/instantiation of a SON function running on one (or several) cells.
- In a real network we may have several SON instances of the same or different SON functions, **this can generate conflicts and instability.**
- Therefore we need a SON COordinator (SONCO)

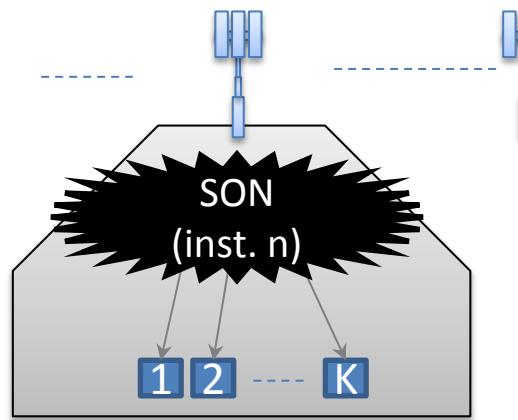


# System description

cell 1

cell n

cell N



We consider:

- $N$  cells. (each sector constitutes a cell)
- 1 SON function (e.g. MLB\*), black boxes
  - instantiated on every cell, i.e.  $N$  SON instances
- $K$  parameters on each cell tuned by the SON functions (e.g. CIO\*, HandOver Hysteresis)

□ The network at time t:

$P_{t,n,k}$  - the parameter k on cell n

□ The SON at time t:

$U_{t,n,k} \in [\pm 1; 0]$  - the request of the SO instance n targeting  $P_{t,n,k}$

- $U_{t,n,k} = -1$ ,  $U_{t,n,k} = 1$  and  $U_{t,n,k} = 0$  is a request to decrease, increase and maintain the value of the target parameter, respectively

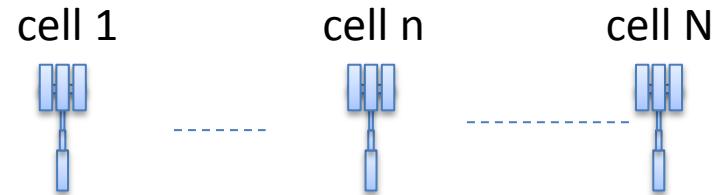
□ The SONCO at time t:

$A_{t,n,k} \in \{1,0\}$  - the action of the SONCO

- if  $A_{t,n,k} = 1$  /  $A_{t,n,k} = 0$  means that we accept/deny the request  $U_{t,n,k}$ , i.e.  $P_{t+1,n,k} = P_{t,n,k} + U_{t,n,k} A_{t,n,k}$
- targets to eliminate unnecessary parameter fluctuations

# MDP formulation

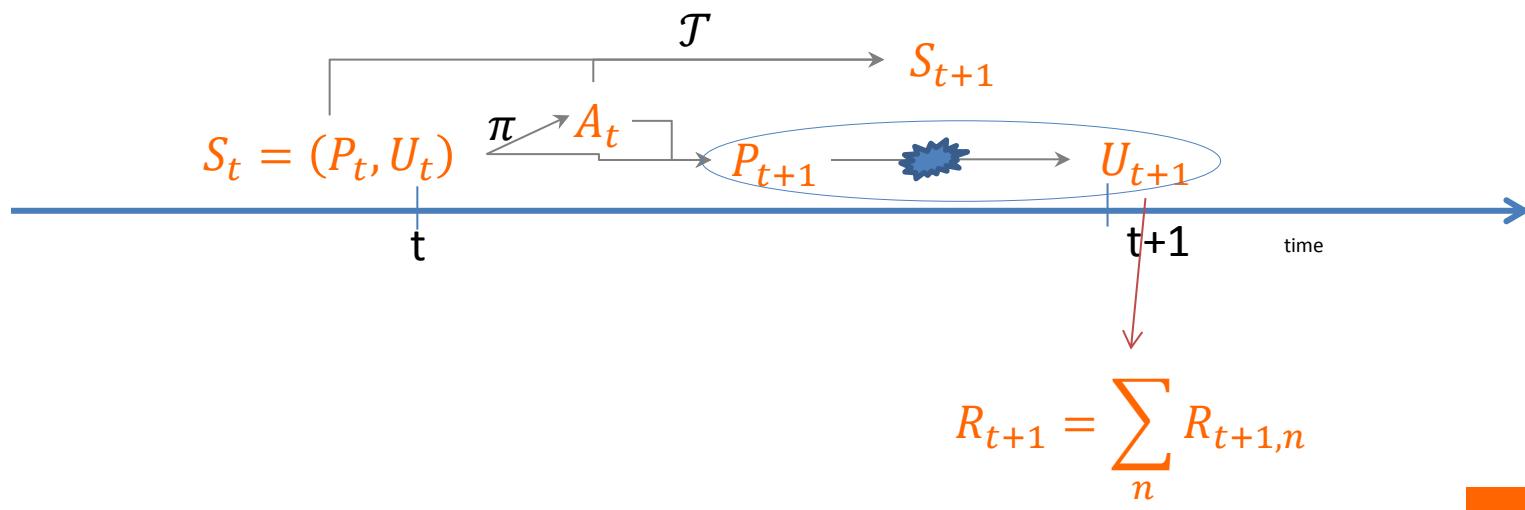
□ State:  $S_t = (P_t, U_t)$



□ Action:  $A_t \in \{0,1\}^{NK}$

□ Transition kernel:

- $P_{t+1} = g(P_t, U_t, A_t)$  (where  $g$  is a deterministic function)
- $U_{t+1} = h(P_{t+1}, \xi_{t+1})$ , i.e. is a “random” function of  $P_{t+1}$ , and some noise  $\xi_{t+1}$



# Target: optimal policy, i.e. best $A_t$

- we define discounted sum reward (value function):

$$V^\pi(s) = \mathbb{E}_\pi \left[ \sum_{t=0}^{\infty} \gamma^t R_t | S_0 = s \right]$$

- the optimal policy  $\pi^*$  is the policy which is better or equal to all other policies:

$$V^{\pi^*}(s) \geq V^\pi(s), \quad \forall s$$

- the optimal policy can be expressed as

$$\pi^*(s) = \operatorname{argmax}_a Q^*(s, a)$$

where  $Q^*(s, a)$  is the optimal action-value function:

$$Q^*(s, a) = \mathbb{E}_{\pi^*} \left[ \sum_{t=0}^{\infty} \gamma^t R_t | S_0 = s, A_0 = a \right]$$

- As we only have partial knowledge of the transition kernel, we resort to Reinforcement Learning (RL) to estimate “on line” the  $Q^*$  function. For example we could use Q-learning.

BUT: we have deal with the complexity issue

# Towards a reduced complexity RL algorithm

**Main idea** : exploit the particular structure/features of the problem/model:

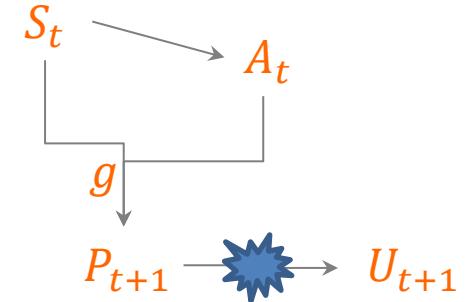
- Special structure of the transition kernel:

$$\begin{aligned} P_{t+1} &= g(S_t, A_t) \\ U_{t+1} &= h(P_{t+1}, \xi_{t+1}) \end{aligned}$$

- the reward:

$$R_{t+1} = \sum_{n \in \mathcal{N}} R_{t+1,n}$$

only depends on



The consequence is:

$$Q(s, a) = \sum_{n \in \mathcal{N}} W_n(p'), p' = g(s, a)$$

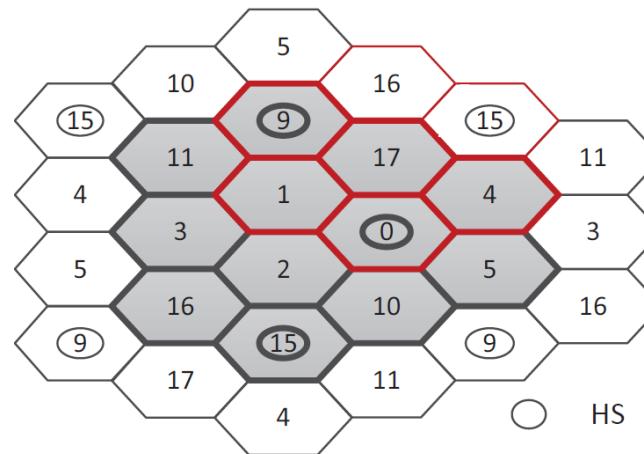
The complexity is reduced as now we can learn the  $W$ -function instead of the  $Q$ -function, (the domain of  $(s, a) = ((p, u), a)$  is smaller than the domain of  $g(s, a) = p$ )

# Still not enough, but...

- The complexity is still too large as the domain of  $p' = g(s, a)$  scales exponentially with the number of cells.  
→ Use state aggregation to reduce complexity.

$$W_n(p) \approx \bar{W}_n(\bar{p}_n)$$

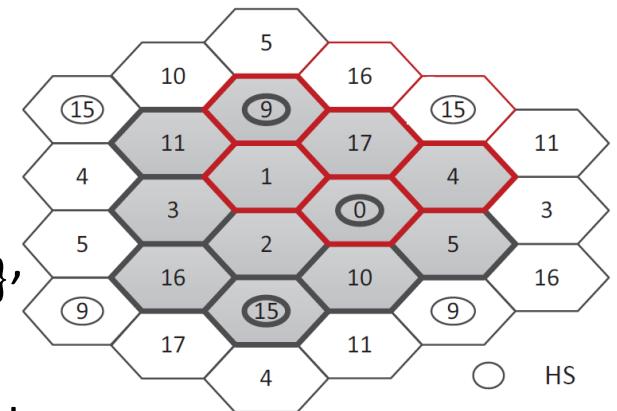
$\bar{p}_n$  contains the parameters of cell n and its neighbors.



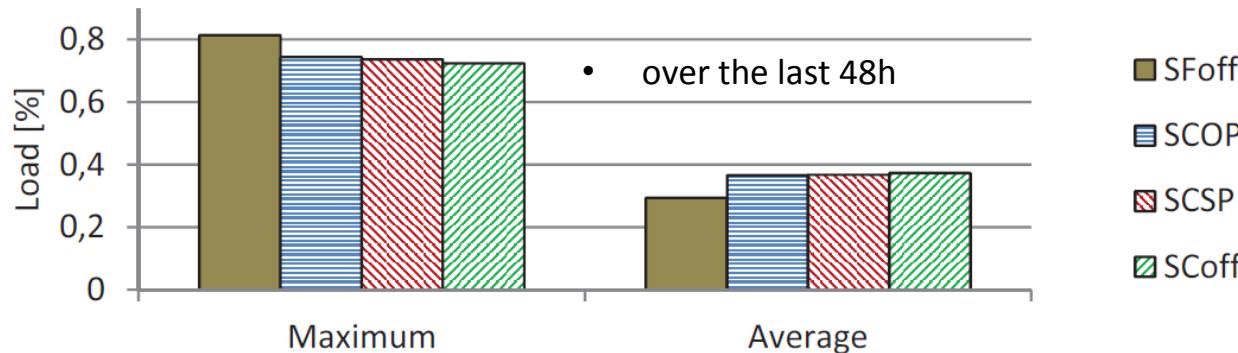
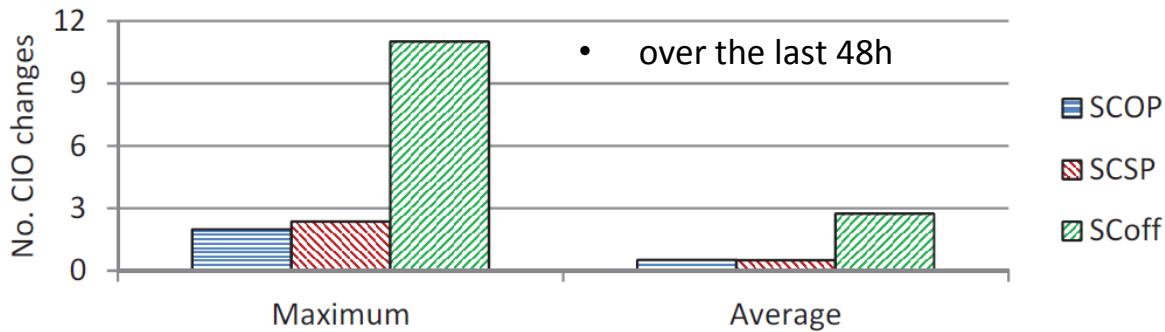
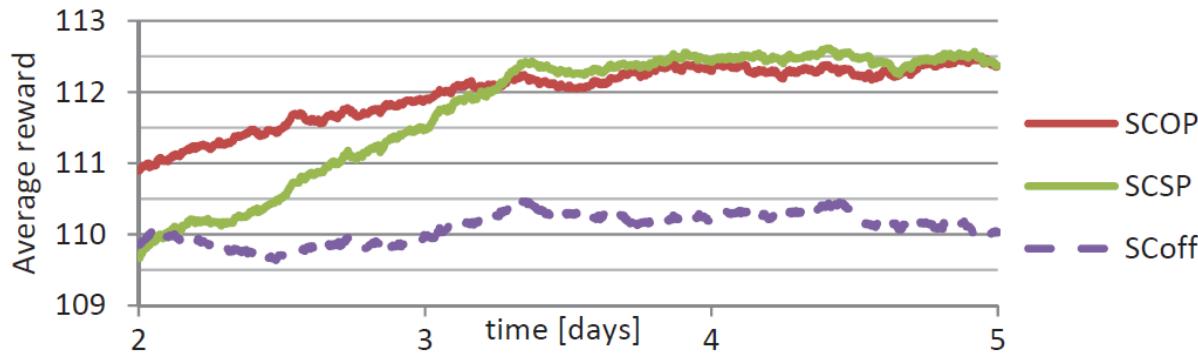
# Application example

Some scenario details:

- ❑ 1 MLB instance on each and every cell tuning the CIO,
- ❑ we have an **instability** problem on the CIO,
- ❑ the reward is a sum of sub-rewards calculated per cell →  $W_n$  ( $n \in \mathcal{N}$ ),
- ❑ from  $W_n(p)$  to  $\bar{W}_n(\bar{p}_n)$  :  $\bar{p}_n$  contains the parameters of cell n and its neighbors,
- ❑ state space scales **linearly** with the no. of cells,
- ❑ reward:  $R_{t,n} = 1,0 \cdot \mathbb{I}_{\{U_{t,n,CIO}=0\}} + 0,9 \cdot \mathbb{I}_{\{U_{t,n,CIO}=1\}}$ ,  
i.e. no reward when the request is “off-load”,  
to say that we are unhappy when the cell is overloaded.



# Simulation Results



4 scenarios:

1. **SonCo Optimal Policy:** uses and estimates  $W_n$ ,
2. **SonCo Sub-optimal Policy:** uses and estimates  $\bar{W}_n$ ,
3. **SonCo off**
4. **Son Functions off**

- stationary traffic

# Conclusion and future work

- ❑ with RL we can improve the **network stability**, i.e. reduce the unnecessary parameter changes, without affecting the target of the SON (the load balancing)
- ❑ the solutions state space **scales linearly** with the number of cells

Future work:

- analyzing **tracking** capability of the algorithm,
- **HetNet scenarios**,

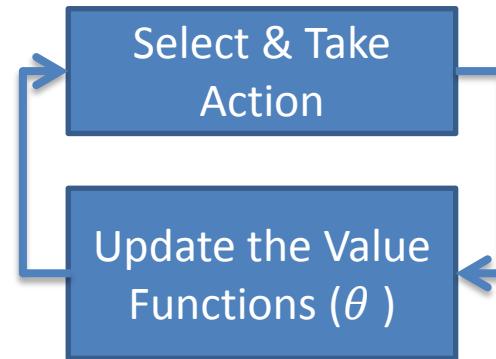
# Questions ?



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# SONCO: Algorithm

So this is what has to be implemented:




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## Algorithm 1 SONCO

### Function Init :

$\forall i \in \mathcal{I}_m, \forall j \in \mathcal{J}_i$  initialize  $\theta_{i,j} = 0$

### Function SONCO :

Observe current parameter configurations  $p_{\mathcal{O}_m}$  and update requests  $u_{\mathcal{O}_m, \mathcal{Z}}$ , calculate regret  $r_{\mathcal{I}_m}$ ,

Compute  $a_{\mathcal{O}_m}^* = \arg \min_{a_{\mathcal{O}_m} \in \mathcal{A}_{\mathcal{O}_m}} \sum_{i \in \mathcal{I}_m} \bar{W}_i^* (\bar{g}_i ((\bar{p}_i, \bar{u}_i), \bar{a}_i))$

Compute (and overwrite)  $a_{\mathcal{O}_m}^* = \left( \mathbb{I}_{\{\exists z \in \mathcal{Z} \text{ s.t. } u_{n,k,z} > 0\}} - \mathbb{I}_{\{\exists z \in \mathcal{Z} \text{ s.t. } u_{n,k,z} < 0\}} \right)_{(n,k) \in \mathcal{O}_m}$

Compute  $p_{\mathcal{O}_m}^* = g_m ((p_{\mathcal{O}_m}, u_{\mathcal{O}_m, \mathcal{Z}}), a_{\mathcal{O}_m}^*)$

For all  $i \in \mathcal{I}_m$ ,

For all  $j \in \mathcal{J}_i$ ,

$$\theta_{t+1,i,j} = \theta_{t,i,j} + \alpha [r_i + \gamma \sum_{i \in \mathcal{I}_m} \bar{W}_i^* (\bar{p}_i^*) - \sum_{i \in \mathcal{I}_m} \bar{W}_i^* (\bar{p}_i)] F_{i,j} (\bar{p}_i)$$

Choose action  $a_{\mathcal{O}_m}$  using the  $\epsilon$ -greedy policy  $\pi_m ((p_{\mathcal{O}_m}, u_{\mathcal{O}_m, \mathcal{Z}}), \{a_{\mathcal{O}_m}\}) = \left( (1 - \epsilon) \mathbb{I}_{\{a_{\mathcal{O}_m} = a_{\mathcal{O}_m}^*\}} + \frac{\epsilon}{3^{NK}} \right) \mathbb{I}_{\{a_{\mathcal{O}_m} = a_{\mathcal{O}_m}^*\}}$ ,

Take action  $a_{\mathcal{O}_m}$ .

# System Description: MLB

Looking at base station B:

- if(utilization >  $T_{CIO--}$ )  $\rightarrow CIO_B--$
- if(utilization <  $T_{CIO++}$ )  $\rightarrow CIO_B++$  (for going back to the default configuration)
- else  $\rightarrow$  Relax (void)

